

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Buzbee, et al.

Serial No. TBA

Filing Date: July 23, 2003

Confirmation No.: TBA

Examiner: TBA

Docket No. 10971491-3

For: **SYSTEM AND METHOD FOR EFFICIENTLY BLOCKING EVENT SIGNALS  
ASSOCIATED WITH AN OPERATING SYSTEM**

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98. Listed on the accompanying form PTO-1449 are documents which may or may not be considered material to the examination of this application. These documents were made of record in a prior application filed February 25, 1998 and having serial no. 09/030,500, to which priority is claimed relative to the present application pursuant to 35 U.S.C. §120. Copies of these documents are not being provided pursuant to 37 C.F.R. § 1.98(d)(1) and (2), which provides:

(d) A copy of any patent, publication, pending U.S. application or other information, as specified in paragraph (a) of this section, listed in an information disclosure statement is required to be provided, even if the patent, publication, pending U.S. application or other information was previously submitted to, or cited by, the Office in an earlier application, unless:

(1) The application is properly identified in the information disclosure statement and is relied on for an earlier effective filing date under 35 U.S.C. §120; and

(2) The information disclosure statement submitted in the earlier application complies with a paragraphs (a) through (c) of this section.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By: 

**Ann I. Dennen, Reg. No. 44,651  
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Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400  
Docket: 10971491-3

## CERTIFICATE OF MAILING

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on July 3, 2003 and is addressed to:

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450**

on 7-23-2003.

Cynthia K. Dempster  
Cynthia K. Dempster

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10971491-3

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Buzbee, et al.

FILING DATE

July 23, 2003

GROUP

2126

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,732,210	03/24/98	Buzbee	
	1B	5,764,962	06/09/98	Buzbee	
	1C	5,678,047	10/14/97	Golshani, et al.	
	1D	4,870,610	09/26/89	Belfer	
	1E	4,720,778	01/19/88	Hall, et al.	
	1F	5,854,928	12/29/98	Buzbee	
	1G	5,802,265	9/1998	Bressound, et al.	
	1H	5,673,315	9/1997	Wolf	
	1I	5,784,643	7/1998	Shields	
	1J	5,878,384	3/1999	Johnson, et al.	
	1K	5,355,484	10/11/1994	Record, et al.	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Cmelik, et al., "Shade: A Fast Instruction-Set Simulator for Execution Profiling," Association for Computing Machinery (ACM, Inc.), 1994.
	1R	Cmelik, et al., "Shade: A Fast Instruction-Set Simulator for Execution Profiling," Technical Report UWCSE 93-06-06, University of Washington and Sun Microsystems, Inc., 1993, pp.1-41.
	1S	

EXAMINER

DATE CONSIDERED

**PATENT APPLICATION**

Sheet 1 of 1

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>10971491-3</b>	APPLICATION NO.	CONFIRMATION NO.
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FILING DATE <b>July 23, 2003</b>		GROUP <b>2126</b>	

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,600,790	02/1997	Barnstijn, et al.	
	1B	5,608,908	04/1997	Barghouti, et al.	
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
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	1L					
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**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	1Q	
	1R	
	1S	

EXAMINER	DATE CONSIDERED
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